

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/692,117

Filed: October 22, 2003

For: BLEACHING COMPOSITIONS AND DEVICES  
 HAVING A SOLID ADHESIVE LAYER AND  
 BLEACHING GEL ADJACENT THERETO

Examiner: Unknown

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Director of Patents and Trademarks, Washington, D.C. 20231.

Date of deposit: December 16, 2003.

- Supplemental Information Disclosure Statement
- Supplemental Form PTO-1449 (listing 3 cited references)
- Transmittal Letter
- Postcard

Respectfully submitted,

John M. Guynn  
 Attorney for Applicant(s)  
 Registration No. 36,153  
 Customer No. 022913

JMG:mla

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of three (3) references submitted for consideration.
- ☐ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

\_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

\_\_\_ Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

\_\_\_ Promptness Certification.

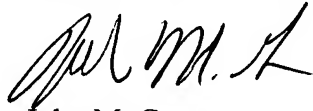
\_\_\_ Check No. \_\_\_\_\_ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);

\_\_\_ Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 16<sup>th</sup> day of December, 2003.

Respectfully submitted,



John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913



EXPRESS MAIL LABEL NO.: EV384064425US

PATENT APPLICATION  
Docket No.: 7678.816

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
		)
	Peter M. Allred and Neil T. Jessop	)
		)
Serial No.	10/692,117	) Art Unit
		) Unknown
Filed:	October 22, 2003	)
		)
For:	BLEACHING COMPOSITIONS AND DEVICES	)
	HAVING A SOLID ADHESIVE LAYER AND	)
	BLEACHING GEL ADJACENT THERETO	)
		)
Examiner:	Unknown	)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of additional patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Dated this 16<sup>th</sup> day of December, 2003.

Respectfully submitted,



John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913

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EXPRESS MAIL LABEL NO.: EV384064425US

Form PTO-1449

Sheet 1 of 2

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID  
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	5,922,307	07/13/99	Montgomery	424	53	09/25/96
_____ A2.	6,331,292	12/18/2001	Montgomery	424	53	11/16/98
_____ A3.	6,488,914	12/3/2002	Montgomery	424	53	10/31/2001

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV384064425US

Form PTO-1449

Sheet 2 of 2

Applicant: Peter M. Allred, et al.

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ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO



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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.